JAP15 Rec'd PCT/PTO 14 AUG 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Onice, U.S. DEPARTMENT OF COMMERCE

### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES NEB-240-PUS DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. 12 February 2004 (12.02.2004) PCT/US2005/004409 14 February 2005 (14.02.2005) TITLE OF INVENTION Highly Potent hsiRNA Mixtures and Method for Gene Splicing APPLICANT(S) FOR DO/EO/US New England Biolabs, Inc., et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). ( where declaration of the inventor(s) (35 U.S.C. 371(c)(4)). X An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. 17. X A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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			•	persons are required to respond to a collection of info INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
U.S. APPLICATION NO. (f) known, seed CFR 1.5)			PCT/US2005	PCT/US2005/004409		NEB-240-PUS			
20. Other items or information: Copy of international application WO 2005/079299; paper copy of sequence listing and statement that the contents of the paper and electronic copies are identical; unexecuted declaration and power of attorney (executed document to follow); PCT Request erroneously omitted inventor Feehery									
The fol	lowing fees have b	CALCULATIONS	PTO USE ONLY						
21. 🗓 Bas	ic national fee (37	\$ 300.00							
If the written opin by IPEA	mination fee (37 Cf nion prepared by IS /US indicates all cl	\$ 200.00							
23. X Sea If the written opin IPEA/US Search fee (37 C Internati International Sea previous	arch fee (37 CFR 1. nion of the ISA/US 5 indicates all claim CFR 1.445(a)(2)) had onal Searching Au arch Report prepara y communicated to	\$ 100.00							
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Independent claims 3 - 3 =		0	× \$200	\$ 0.00					
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c. X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No14-0740 A duplicate copy of this sheet is enclosed.								
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
Harrie New E 240 Co	t M. Strimpel, D.Phil. England Biolabs, Inc. ounty Road ch, MA 01938			SIGNATURE  Harriet M. Strimpel, D. Phil.  NAME  37,008  REGISTRATION NUMBER					

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### Practitioner's Docket No. NEB-240-PUS

**PATENT** 

#### IN THE UNITED STATES RECEIVING OFFICE

In re application of:

George Tzertzinis, Céline Petit and George Feehery

International Application No.: PCT/US2005/004409

International Filing Date: 14 February 2005

For: Highly Potent hsiRNA Mixtures and Method for Gene Splicing

Mail Stop PCT, Attn: US/EO/RO Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EQ 611795087 US Date of Deposit 08/14/2006

I hereby state that the following attached paper or fee

Transmittal to DO/EO/US for entering U.S. national phase with related papers and documents referenced therein

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Leslie Goldberg

Signature of person mailing paper or fee